



I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/652,993

Confirmation No. : 4012

Applicant: Vishnu K. Agarwal

Attorney Docket No.: 501082.04 : August 31, 2000

Customer No.

Art Unit : 2815

Filed

: 27,076

Examiner: Jose R. Diaz

Title : DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A

CONDUCTIVE LAYER IN SAID DEVICE

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/200,253, filed November 25, 1998. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

App. No. 09/652,993

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Marcus Line

Marcus Simon

Registration No. 50,258

MS:clr

**Enclosures:** 

Postcard Fee Transmittal (+ copy) Form PTO-1449

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

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FORM PTO-144 (REV.7-80)	SEP 1	2004 E	U.S. DEPARTMENT OF COMPATENT AND TRADEMARK
INF	ହ୍ୟୁ ORMATIC	N DISCL	OSURE STATEMENT

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. 501082.04

APPLICATION NO. 09/652,993

APPLICANT(S)

(Use several sheets if necessary)

Vishnu K. Agarwal

FILING DATE

GROUP ART UNIT

2815 August 31, 2000 **U.S. PATENT DOCUMENTS** FILING DATE SUBCLASS \*EXAMINER DOCUMENT NUMBER DATE NAME CLASS INITIAL IF APPROPRIATE 09/23/03 438 665 6,624,069 Lee et al. AAAB ACAD ΑE AF AG ΑH ΑI FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO AKALAM AN ΑO OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) AP' AQ AR **EXAMINER** DATE CONSIDERED \* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in

Fee paid

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2. [] Check Enclosed

1. BASIC FILING FEE Large Entity

Fee

<u>(\$)</u>

Fee

Code

1001

SEP 2 9 2004

# FEE TRANSMITTAL SHEET (FOR FY 2004)

	Complete if Known	
Application No.	09/652,993	
Filing Date	August 31, 2000	
First Named Inventor	Vishnu K. Agarwal	
Group Art Unit	2815	
Examiner	Jose R. Diaz	
Atty. Docket Number	501082.04	

### **METHOD OF PAYMENT (Check One)**

# **FEE CALCULATION (Continued)**

i	1. [X] The Commissioner is hereby authorized to charge any
	additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and
ĺ	1.136(a)(3) and credit any over payments to Deposit Account
ļ	No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLF

1. [34] The commissioner is hereby authorized to charge any
additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and
1.136(a)(3) and credit any over payments to Deposit Account
No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

**FEE CALCULATION** 

Small Entity Fee

<u>Code</u>

2001

Fee

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YLLP	Code
	1051
	1052
	Limit Limit

Fee

1254

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1401

1402

1403

#### 3. ADDITIONAL FEES Large Entity **Small Entity**

Fee

Code

Fee

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1,480

2,010

330

330

290

2254

2255

2401

2402

2403

740

1,005

165

165

145

┨	1051	130	2051	65	Surcharge - Late filing fee or oath	\$
4	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$
	1053	130	1053	130	Non-English specification	\$
7	1812	2,520	1812	2,520	For Filing a Request for ex parte Reexamination	\$
	1804	920	1804	920	Requesting publication of SIR prior to Examiner action	\$
	1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action	\$
l	1251	110	2251	55	Extension for reply within first month	\$
l	1252	420	2252	210	Extension for reply within 2 <sup>nd</sup> month	\$
l	1253	950	2253	475	Extension for reply within 3 <sup>rd</sup> month	\$

**Fee Description** 

Extension for reply within 4th month

Extension for reply within 5<sup>th</sup> month

Filing a brief in support of an appeal

Notice of Appeal

Request for oral hearing

Lancing School 197158		bihd	S	ubtotal (1)	\$ 0
1005	160	2005	80	[] Provisional Filing Fe	е
1004	770	2004	385	[] Reissue Filing Fee	
1003	530	2003	265	Plant Filing Fee	
1002	340	2002	170	Design Filing Fee	

Subtotal	(1)	\$
	` '	

**Fee Description** 

Utility Filing Fee

2. EXTRA CLAIM FEES									
Current Claims		Prior		Extra		Fee		Fee Paid	
Total	-	20	=		x	\$	=	\$ 0	
Ind.		3	=		- x -	\$	=	\$ 0	
Multiple Depende		- x	\$	=	\$				
			•		Su	btotal (	(2)	\$ <u>0</u>	

Large Entity		Small En	tity			
Fee <u>Code</u>	Fee <u>(\$)</u>	Fee <u>Code</u>	Fee (\$)	Fee Description		
1202	18	2202	9	Claims in excess of 20		
1201	86	2201 -	43	Independent claims in excess of 3		
1203	290	2203	145	Multiple dependent Claim		
1204	86	2204	43	Reissue independent claims over original patent		
1205	, <b>18</b>	2205	9	Reissue claims in excess of 20 and over original patent		

	1814	110	2814	55	Terminal Disclaimer Fee	\$
_	1452	110	2452	55	Petition to revive – unavoidable	\$
_	1453	1,330	2453	665	Petition to revive – unintentional	\$
-	1501	1,330	2501	665	Utility/Reissue issue fee	\$
-	1502	480	2502	240	Design issue fee	\$
	1503	640	2503	320	Plant issue fee	\$
	1460	130	1460	130	Petitions to the Commissioner	\$
	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	\$
	1806	180	1806	180	Submission of IDS	\$
	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$
	1801	770	2801	385	Request for Continued Examination (RCE)	\$

Subtotal (3)

**Total Amount of Payment:** 

Submitted by:

Name: Marcus Simon Reg. No.: 50,258 Telephone: (206) 903-8800 Signature: Macur Simon 24,200

Other fee (specify)